



FINANCIAL SERVICES GUIDE



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This Financial Services Guide (FSG) is provided to you by Quantara Asset Management Pty Ltd ABN 97 658 495 026 (“Quantara”, we, our, us) to inform you of the financial services provided by us and to comply with our obligations as an Authorised Representative (CAR No. 1296553) of Centra Wealth Pty Ltd (“Centra”) which is the holder of an Australian Financial Services Licence (AFS Licence No. 422704).

The purpose of this document

This FSG is meant to assist you to decide whether to use our services and to explain:

- who we are;
- what financial services we provide and the products to which those services relate;
- what our responsibilities are and what type of advice we give;
- how you can instruct us;
- what you can expect to pay for the financial services;
- what remuneration and other benefits may be paid to us, our employees or others;
- what to do if you have a complaint, and how it will be dealt with;
- for what purpose we use your contact data; and
- how you can contact us.

This FSG contains only general information about the services we offer. If you still have any questions after reading this FSG, please contact us. Our contact details are listed on the cover page of this document.

What other disclosure documents and statements will i receive?

Generally, where we arrange for the issue of a financial product to you, you will receive a Product Disclosure Statement (PDS) or other relevant disclosure documents that include information about the product so that you can make an informed decision whether to acquire the product. It would include any relevant terms, significant risks and costs associated with the supply of that financial product.

Not Independent

Our licensee, has an open approved products list and receives commissions for life insurance products. Accordingly, and although it's not owned by, or associated with any Bank or Insurer, neither our licensee (nor its representatives) can describe themselves as independent.

About us

Quantara is responsible for the Financial Services described in this guide. Quantara is a representative of, and acts on behalf of, Centra.

Quantara was established to provide investors with an alternative to the more traditional forms of investment management.

Its founders, Zac Zacharia and Jason Dixon, have a combined 40+ years of investment advisory and management experience between them. Over their careers, they observed how most fund managers provide inconsistent investment returns, year after year. Zac and Jason believe this is due to archaic investment philosophies which don't consider underlying market sentiment and subjective methods for making investment decisions.

Our investment approach is therefore different – it is based on a mechanical and rules-based methodology that removes the emotional bias from our decision-making process, which in turn allows us to dynamically adjust our asset allocations to market conditions, manage investment risk - and ultimately outperform.

Our name is derived from the way we select and manage investments – using Quantitative, Fundamental and Technical analysis to provide a truly 3-dimensional and objective view of investment opportunities.

What are our responsibilities and what type of advice do we give?

Quantara is authorised by Centra to provide only **general advice on, and to deal in**, the following classes of financial products to retail and wholesale clients (within the definition of the Corporations Act):

- securities.
- derivatives;
- managed investment schemes including investor-directed portfolio services.

We only provide general advice and information regarding financial products and services that can be dealt through us. This information or advice does not take into account your personal and financial circumstances, needs and objectives and because of that you should, before acting on the advice, consider the appropriateness of the advice having regard to your personal and financial circumstances, needs and objectives.

It should be noted that if you open an account with us you will also be requested to provide some information relating to your financial circumstances and risk profile. This information is collected so that we can:

- receive some reassurance that you will be able to finance any trading that you enter into and that your attitude to risk is comparable to that of the financial products you intend to trade; and
- identify who you are as required by the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AMLCTF Act 2006).

You shouldn't make any decision without considering your own needs or getting independent and personal financial advice.

Details of Associations and Relationships

We have relationships with the following entities:

Centra Wealth (our Licensee)

Centra Wealth Pty Ltd ABN 66 137 858 023 is a privately owned Australian Financial Services Licensee (no. 422704).

Centra provides us with authority to operate under their financial services licence.

Zac Zacharia is a director and beneficial shareholder of Centra as well as Quantara and may receive remuneration in the form of dividends, salary or both from these entities.

Primary Securities Ltd (Responsible Entity of the Fund we manage)

Primary Securities Pty Ltd ABN 96 089 812 635 (“Primary Securities”) is an Australian Financial Services Licensee (no. 224107) and Responsible Entity that operates 34 managed investment schemes around Australia.

Primary Securities is responsible for an unlisted managed investment scheme called Managed Investment Account Service (MIAS) (ARSN No. 164 487 389). The Quantara Pan Pacific Equities Investment Fund, which is managed by Quantara Asset Management, is a mandate of the MIAS.

Quantara pays an annual fee to Primary Securities for utilising its MIAS service to operate its Fund.

Centra Financial (related party)

Centra Financial Pty Ltd trading as Centra Private Wealth ABN 57 638 476 954 is a corporate authorised representative (CAR No. 1279906) of Centra Wealth Pty Ltd.

It provides financial planning and personal advisory services to clients under the trading name of Centra Private Wealth.

Zac Zacharia is a director and beneficial shareholder of Centra Financial Pty Ltd as well as Quantara and may receive remuneration in the form of dividends, salary or both from these entities.

The remuneration we receive

Managed Account Services

Quantara acts as the Investment Manager of the Quantara Pan Pacific Equities Investment Fund. This fund is a mandate of an unlisted managed investment scheme operated by Primary Securities as Responsible Entity.

As the Investment Manager, Quantara will receive Management and Performance Fees as agreed to between the Responsible Entity and Quantara. This is disclosed in the PDS for the Quantara Pan Pacific Equities Investment Fund.

Consultancy Services

Quantara may also offer general advisory investment consultancy services to self-directed investors and financial planners who manage client portfolios. This provides access and general advice to our professional opinions on investments, and also to discuss investment opportunities with us – on a general advice basis.

For private investors, the cost of this service starts from \$350 per hour (if you engage us on a Fee for Service basis), or from \$3,300 per year (if you subscribe to an Ongoing Consultancy Service). For financial planners, we tailor a consultancy service specific to your requirements – and the cost of this will therefore be provided in a quote.

NOTE - We do not provide personal financial product advice as part of this Consultancy Service - so you'll never receive a Statement of Advice (“SoA”) from us.

Protecting your privacy

The privacy of your personal information is important to us and we respect your confidentiality. We won't ask you for information we don't need but, in order to provide quotes and some comparative information, we may collect some personal information from you.

You have the right not to provide the personal information we request, but this may compromise the effectiveness of the advice or information you receive and the services we can provide you.

Rest assured that any personal information we collect or receive will be handled in accordance with our Privacy Policy which is available on our website or on request.

Professional indemnity insurance

We maintain professional indemnity insurance to cover the information, advice, and services we provide. Our policy satisfies the requirements imposed by the Corporations Act 2001 and financial services regulations.

Complaints and dispute resolution

We welcome your feedback on the information and service we provide and we encourage you to submit your views via our website.

While we hope that you'll be delighted with our service, if you do have any problems or concerns, then please do the following:

Contact us directly at compliance@quantara.com.au and provide us with details of your concerns. We will try to resolve your complaint quickly and fairly.

In the event that we are unable to resolve any issue or complaint within 3 days, you can contact our licensee, Centra Wealth Pty Ltd, on 08 8231 4709 or put your complaint in writing and send it to compliance@centrawealth.com.au. Centra will try to resolve your complaint quickly and fairly and provide you with a formal response within thirty (30) days.

Should your complaint not be resolved satisfactorily after this time, you may escalate your complaint to one of the following independent services.

Type of complaint	External complaints service
General Advice Investment matters	GPO Box 3 Melbourne VIC 3001 Toll Free: 1800 931 678 (free call) Email: info@afca.org.au Website: www.afca.org.au
Personal information	The Office of the Australian Information Commissioner on 1300 363 992

The Australian Securities and Investments Commission (ASIC) may also be contacted on 1300 300 630 to find out which body may be best to assist you in settling your complaint.